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UNITED STATES PATENT AND TRADEMARK OFFICE

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Re: Application of: Taiichi MATSUO, et al.
Serial No.: 09/731,071
Filed: December 6, 2000
For: ELECTRONIC SETTling SYSTEM AND
ELECTRONIC SETTling METHOD

#5
IDS
AW
5-6-03

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231-0001

April 2, 2003

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicant herewith submits form PTO-1449 which lists a reference cited in the Austrian Search Report issued in connection with a corresponding foreign application. A copy of the reference, WO 96/21192, cited in the Austrian Search Report is submitted herewith. The remaining references listed by the Austrian Search Report were previously submitted by the Applicant in an Information Disclosure Statement filed on January 16, 2003. A copy of the Austrian Search Report is also enclosed.

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents Washington, D.C. 20231-0001" on April 2, 2003.
STEINBERG & RASKIN, P.C.

BY:

Gynette McPherson

This submission does not represent that a search has been made or that no better art exists. While the term "reference" is used in citing the publication called to the Examiner's attention herein, applicant does not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the reference, should it be applied against the claims of the present application.

This Information Disclosure Statement is being filed prior to the receipt of the first office action on the merits and therefore no fee is due for its submission. However, in the event that any fees are required, the Commissioner is hereby authorized to charge any requisite fee to Deposit Account No. 50-0518.

It is respectfully requested that the references be considered and made of record.

Respectfully submitted,

STEINBERG & RASKIN, P.C.

By: 

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Encls.

PTO-1449
1 Reference
Austrian Search Report